

OHIO PUBLIC WORKS

FOR YOU

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 7/93

CT02A

IMPORTANT: Applicant should consult the "Instructions for Completion of Project Application" for assistance in the proper completion of this form.

SUBDIVISION: Village of Lockland CODE # 061 - 44366

DISTRICT NUMBER: 2 COUNTY: Hamilton DATE 9 / 27 / 96

CONTACT: William R. McCormick PHONE # (513) 721-5500

(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE ON A DAY-TO-DAY BASIS DURING THE APPLICATION REVIEW AND SELECTION PROCESS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

PROJECT NAME: South Cooper Widening

SUBDIVISION TYPE

(Check Only 1)

- ☐ 1. County
☐ 2. City
☐ 3. Township
☒ 4. Village
☐ 5. Water/Sanitary District
(Section 6119 O.R.C.)

FUNDING TYPE REQUESTED

(Check All Requested & Enter Amount)

- ☒ 1. Grant \$ 400,500
☐ 2. Loan \$ _____
☐ 3. Loan Assistance \$ _____
MBE SET-ASIDE OFFERED
Construction \$ 450,000.00
Procurement \$ _____

PROJECT TYPE

(Check Largest Component)

- ☒ 1. Road
☐ 2. Bridge/Culvert
☐ 3. Water Supply
☐ 4. Wastewater
☐ 5. Solid Waste
☐ 6. Stormwater

TOTAL PROJECT COST: \$ 450,000 FUNDING REQUESTED: \$ 400,500

DISTRICT RECOMMENDATION

To be completed by the District Committee ONLY

GRANT: \$ 400,500.00

LOAN ASSISTANCE: \$ _____

LOAN: \$ _____

% TERM: _____ yrs. (Attach Loan Supplement)

(Check Only 1)

- ☐ State Capital Improvement Program
☐ Local Transportation Improvements Program
☒ Small Government Program

DISTRICT MBE SET-ASIDE:

Construction \$ _____
Procurement \$ _____

FOR OPWC USE ONLY

PROJECT NUMBER: C _____ / C _____

Local Participation _____ %

OPWC Participation _____ %

Project Release Date: _____

OPWC Approval: _____

APPROVED FUNDING: \$

Loan Interest Rate: _____ %

Loan Term: _____ years

Maturity Date: _____

Date Approved: _____

1.0 PROJECT FINANCIAL INFORMATION

1.1 PROJECT ESTIMATED COSTS:

(Round to Nearest Dollar)

- a.) Project Engineering Costs:
- 1. Preliminary Engineering \$ _____ .00
 - 2. Final Design \$ _____ .00
 - 3. Other Engineer Services *
 - Supervision \$ _____ .00
 - Miscellaneous \$ _____ .00
- b.) Acquisition Expenses:
- 1. Land \$ _____ .00
 - 2. Right-of-Way \$ _____ .00
- c.) Construction Costs: \$ 450,000.00
- d.) Equipment Purchased Directly:
- e.) Other Direct Expenses: \$ _____ .00
- f.) Contingencies: \$ _____ .00
- g.) TOTAL ESTIMATED COSTS: \$ 450,000.00

MBE	Force Account
\$	\$
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

1.2 PROJECT FINANCIAL RESOURCES:

(Round to Nearest Dollar and Percent)

- | | | % |
|---|-----------------------|-------------|
| a.) Local In-Kind Contributions | \$ _____ .00 | _____ |
| b.) Local Public Revenues | \$ <u>4,500</u> .00 | <u>1</u> |
| c.) Local Private Revenues | \$ _____ .00 | _____ |
| d.) Other Public Revenues | | _____ |
| 1. ODOT PID# _____ | \$ _____ .00 | _____ |
| 2. EPA/OWDA _____ | \$ _____ .00 | _____ |
| 3. OTHER (MRF) | \$ <u>45,000</u> .00 | <u>10</u> |
| SUB TOTAL LOCAL RESOURCES: \$ <u>50,500</u> .00 | | <u>11</u> |
| | | |
| e.) OPWC Funds | | |
| 1. Grant | \$ <u>400,500</u> .00 | <u>89</u> |
| 2. Loan | \$ _____ .00 | _____ |
| 3. Loan Assistance | \$ _____ .00 | _____ |
| SUB TOTAL OPWC RESOURCES: \$ <u>400,500</u> .00 | | <u>89</u> |
| | | |
| f.) TOTAL FINANCIAL RESOURCES: | \$ <u>450,000</u> .00 | <u>100%</u> |

*Other Engineer's Services must be outlined in detail on the required certified engineer's estimate.

1.3 AVAILABILITY OF LOCAL FUNDS:

Attach a summary from the Chief Financial Officer listed in section 5.2 listing all local share funds budgeted for the project and the date they are anticipated to be available.

2.0 PROJECT INFORMATION

IMPORTANT: If project is multi-jurisdictional, information must be consolidated in this section.

2.1 PROJECT NAME: South Cooper Widening

2.2 BRIEF PROJECT DESCRIPTION - (Sections a through d):

a.) SPECIFIC LOCATION:

South Cooper (Wyoming Avenue to I-75 on ramp and railroad tracks to Hosea. Please see attached map.

PROJECT ZIP CODE: 45215

b.) PROJECT COMPONENTS:

- 1.) Mill existing pavement 2" +/-.
- 2.) Full and partial depth pavement repairs as necessary.
- 3.) Widen pavement to 42'.
- 4.) Replace deteriorated curbs and sidewalk.
- 5.) Overlay with asphalt.

c.) PHYSICAL DIMENSIONS / CHARACTERISTICS:

33' wide x 3500' long (asphalt pavement with concrete base)

d.) DESIGN SERVICE CAPACITY:

IMPORTANT: Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include both current residential rates based on monthly usage of 7,756 gallon per household. Attach current rate ordinance.

Current ADT = 4320

2.3 USEFUL LIFE / COST ESTIMATE: Project Useful Life: 20 Years.

Attach Registered Professional Engineer's statement, with original seal and signature certifying the project's useful life indicated above and estimated cost.

3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT	\$	<u>450,000.00</u>	<u>100</u> %
State Funds Requested for Repair and Replacement	\$	<u>400,500.00</u>	<u>89</u> %

TOTAL PORTION OF PROJECT NEW/EXPANSION	\$	<u> </u>	<u>0</u> %
State Funds Requested for New and Expansion	\$	<u> </u>	<u>0</u> %

(SCIP Project Grant Funding for New and Expansion cannot exceed 50% of the Total Project Costs.)

4.0 PROJECT SCHEDULE:*

	BEGIN DATE	END DATE
4.1 Engineering/Design:	<u>10/ 1 / 96</u>	<u>6 / 30 / 95</u>
4.2 Bid Advertisement:	<u>7 / 1 / 97</u>	<u>7 / 21 / 97</u>
4.3 Construction:	<u>8 / 1 / 97</u>	<u>6 / 1 / 98</u>

* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be approved in writing by the Commission once the Project Agreement has been executed. Dates should assume project agreement approval/release on July 1st. of the Program Year applied for.

5.0 APPLICANT INFORMATION:

5.1 CHIEF EXECUTIVE

OFFICER	<u>James Brown</u>
TITLE	<u>Mayor</u>
STREET	<u>101 N. Cooper Avenue</u>
CITY/ZIP	<u>Lockland 45215</u>
PHONE	<u>(513) 761 - 1126</u>
FAX	<u>(513) 761 - 4948</u>

5.2 CHIEF FINANCIAL

OFFICER	<u>William Paylor</u>
TITLE	<u>Clerk</u>
STREET	<u>101 N. Cooper Avenue</u>
CITY/ZIP	<u>Lockland 45215</u>
PHONE	<u>(513) 761 - 1126</u>
FAX	<u>(513) 761 - 4948</u>

5.3 PROJECT MANAGER

TITLE	<u>William McCormick</u>
STREET	<u>Village Engineer</u>
CITY/ZIP	<u>2021 Auburn Avenue</u>
PHONE	<u>Cincinnati 45219</u>
FAX	<u>(513) 721 - 5500</u>
	<u>(513) 721 - 0607</u>

6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Check each section below, confirming that all required information is included in this application.

X A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and execute contracts. (Attach)

X A summary from the applicant's Chief Financial Officer listing all local share funds budgeted for the project and the date they are anticipated to be available. (Attach)

X A registered professional engineer's estimate of projects useful life and cost estimate, as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimates shall contain engineer's original seal and signature. (Attach)

 A copy of the cooperation agreement(s) if this project involves more than one subdivision or district. (Attach)

X Capital Improvements Report: (Required by 164 O.R.C. on standard form)

X A: Attached.

 B: Report/Update Filed with the Commission within the last twelve months.

 Floodplain Management Permit: Required if project is in 100 year floodplain. See Instructions.

X Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), and other information to assist your district committee in ranking your project.

7.0 APPLICANT CERTIFICATION:

The undersigned certifies that: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission; (2) that to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) that all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

IMPORTANT: Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement on this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding of the project.

James Brown, Mayor, Village of Lockland

Certifying Representative (Type or Print Name and Title)

James Brown 9/22/96
Signature/Date Signed

PROJECT: SOUTH COOPER WIDENING
ENG. EST.: \$450,000.00

ENGINEER'S
ESTIMATE

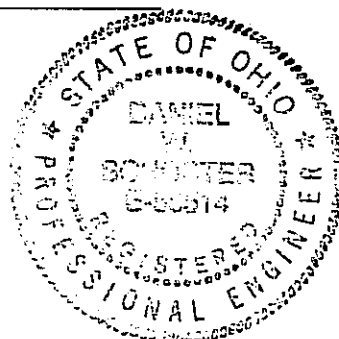
<u>DESCRIPTION</u>	<u>UNIT</u>	<u>QUANT</u>	<u>UNIT</u>	<u>TOTAL</u>
MILL EXISTING PAVEMENT	SY	9200	2.00	\$ 18,400
UNDERCUT, REMOVE & REPLACE	CY	400	50.00	\$ 20,000
CURB TYPE 6	LF	5000	18.00	\$ 90,000
REMOVE & REPLACE CONCRETE DRIVE APRONS	SY	200	25.00	\$ 5,000
REMOVE & REPLACE SIDEWALK	SF	20000	6.00	\$120,000
HANDICAP RAMPS	EA	6	250.00	\$ 1,500
CATCH BASIN CB-3	EA	12	1200.00	\$ 14,400
STORM MH TYPE 3	EA	6	1500.00	\$ 9,000
12" RCP	LF	500	30.00	\$ 15,000
18" RCP	LF	800	40.00	\$ 32,000
ODOT 301 ASPHALT BASE	CY	560	65.00	\$ 36,400
ODOT 404 ASPHALT SURFACE	CY	650	65.00	\$ 42,250
EMBANKMENT	CY	100	2.00	\$ 200
EXCAVATION	CY	1000	2.00	\$ 2,000
TOPSOIL AND SODDING	SY	1000	4.00	\$ 4,000
ADJUST EXISTING UTILITIES	LS	1	10000.00	\$ 10,000
WATERWORKS	LS	1	19850.00	\$ 19,850
MAINTAINING TRAFFIC	LS	1	10000.00	\$ 10,000

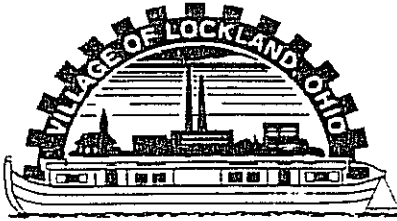
TOTAL ESTIMATED COST \$450,000

I HEREBY CERTIFY THIS TO BE AN ACCURATE ESTIMATE OF THE PROPOSED PROJECT.
THE USEFUL LIFE OF THIS PROJECT IS 20 YEARS.

Daniel W. Schoster

Daniel W. Schoster, P.E





VILLAGE OF LOCKLAND

101 North Cooper Avenue
Lockland, Ohio 45215

Mayor
Jim Brown

Village Administrator
Evonne Kovach

STATUS OF FUNDS REPORT

The Village of Lockland has applied for \$45,000 from the Municipal Road Fund as its participation in the South Cooper Widening Project and will contribute \$4,500 from its General Fund.

A handwritten signature in cursive script that reads "William S. Payler". The signature is written in dark ink and is positioned above a horizontal line.

William S. Payler, Clerk
Village of Lockland



LINCOLN
HIGTS

PROJECT LOCATION

LOCKLAND

PROPOSED CROSS C

VINITY MAP

VILLAGE OF LOCKLAND, OHIO

RESOLUTION #96 - R - 8

A RESOLUTION AUTHORIZING THE VILLAGE ADMINISTRATOR TO EXECUTE AND FILE WITH THE DISTRICT PUBLIC WORKS INTEGRATING COMMITTEE A FINAL APPLICATION ON BEHALF OF THE VILLAGE OF LOCKLAND FOR AID IN PARTLY FINANCING THE COST OF CAPITAL IMPROVEMENT PROJECTS PURSUANT TO THE STATE OF OHIO CAPITAL IMPROVEMENT PROGRAM ROUND 11, AS AMENDED; TO EXECUTE CONTRACT AGREEMENTS WITH THE STATE OF OHIO; AND AUTHORIZING THE VILLAGE COUNCIL TO PERFORM ALL ACTS AND EXECUTE ALL DOCUMENTS THEY CONSIDER NECESSARY TO FULFILL THE VILLAGE OF LOCKLAND'S OBLIGATIONS UNDER SAID LOAN APPLICATION AND CORRESPONDING AGREEMENTS AND TO COMPLY WITH ALL RELEVANT LOCAL, STATE OR FEDERAL LEGAL REQUIREMENTS AND TO PROVIDE ASSURANCES AND ADDITIONAL INFORMATION AS REQUIRED BY THE OHIO PUBLIC WORKS COMMITTEE, AND DECLARING AN EMERGENCY.

WHEREAS, the Village of Lockland is applying for aid to finance the cost of capital improvement projects pursuant to the State of Ohio Capital Improvement Program Round 11; and

WHEREAS, the Director of the Ohio Public Works Commission is authorized to assist in the financing of capital improvement projects; and

WHEREAS, the Administrator of the Ohio Small Government Capital Improvements Commission is authorized to assist in the financing of capital improvement projects; and

WHEREAS, the contract for financial assistance will impose certain obligations upon the applicant.

NOW THEREFORE, BE IT RESOLVED by the Council of the Village of Lockland, State of Ohio, that:

SECTION I The Village Administrator is authorized to execute and file an application on behalf of the Village of Lockland with the Ohio Public Works Commission to finance the cost of capital improvement projects pursuant to the State of Ohio Capital Improvement Program Round 11, as amended.

SECTION II The Village Administrator is authorized to execute contract agreements with the State of Ohio.

SECTION III The Village Administrator is authorized to perform all acts and execute all documents considered necessary to fulfill the Village of Lockland's obligations under said loan application and corresponding agreements and to comply with all relevant local, state or federal legal requirements and to provide assurances and additional information as required by the Ohio Public Works Commission or the Ohio Small Government Capital Improvement Commission.

City or Village of Lockland

WAMINGTON County, Ohio

(Date) JULY, 1996

This Budget must be adopted by the Council or other legislative body on or before July 15th, and two copies must be submitted to the County Auditor on or before July 20th. FAILURE TO COMPLY WITH SEC. 5705.28 R. C. SHALL RESULT IN LOSS OF LOCAL GOVERNMENT FUND ALLOCATION.

To the Auditor of said County:

The following Budget year beginning January 1, 1996, has been adopted by Council and is herewith submitted for consideration of the County Budget Commission.

Signed William S. Payton
 Title Clark of Council

SCHEDULE A

SUMMARY OF AMOUNTS REQUIRED FROM GENERAL PROPERTY TAX APPROVED BY BUDGET COMMISSION, AND COUNTY AUDITOR'S ESTIMATED RATES

For Municipal Use		For Budget Commission Use		For County Auditor Use	
FUND (Include only those funds which are requesting general property tax revenue)	Budget Year Amount Requested of Budget Commission Inside/ Outside	Budget Year Amount Approved by Budget Commission Inside 10 Mill Limitation	Budget Year Amount to be Derived From Levies Outside 10 Mill Limitation	County Auditor's estimate of Tax Rate to be Levied	
				Inside 10 Mill Limit Budget Year	Outside 10 Mill Limit Budget Year
	Column 1	Column 2	Column 3	Column 4	Column 5
GOVERNMENT FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
GENERAL FUND	205,000				
Fire/Life Squad					
Levy Fund	140,000				
PROPRIETARY FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
FIDUCIARY FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX

LEVIES OUTSIDE 10 MILL LIMITATION, EXCLUSIVE OF DEBT LEVIES[illegible]

FUND NAME: GENERAL FUND

EXHIBIT

FUND TYPE/CLASSIFICATION: GOVERNMENTAL — GENERAL

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 1993 Actual (2)	For 1994 Actual (3)	Current Year Estimated for 1995 (4)	Budget Year Estimated for 1996 (5)
REVENUES				
Local Taxes				
General Property Tax — Real Estate	132,012	137,851	135,000	135,000
Tangible Personal Property Tax	61,313	57,095	55,000	55,000
Municipal Income Tax	1,453,112	1,416,452	1,450,000	1,450,000
Other Local Taxes				
Total Local Taxes	1,645,437	1,605,398	1,640,000	1,640,000
Intergovernmental Revenues				
State Shared Taxes and Permits				
Local Government	54,019	54,583	60,275	62,000
Estate Tax	21,054	13,179	15,000	15,000
Cigarette Tax	360	279	500	500
Licence Tax				
Liquor and Beer Permits	6,367	6,343	6,500	6,500
Gasoline Tax				
Library and Local Government Support Fund				
Property Tax Allocation				
Other State Shared Taxes and Permits	31,546	37,301	41,000	42,000
Total State Shared Taxes and Permits	113,346	111,685	123,275	126,000
Federal Grants or Aid				
State Grants or Aid				
Other Grants or Aid				
Total Intergovernmental Revenues	113,346	111,685	123,275	126,000
Special Assessments	11,393	10,447	10,000	9,000
Charges for Services	13,556	10,070	10,000	10,000
Fines, Licenses, and Permits	96,996	95,656	107,000	105,000
Miscellaneous	50,982	50,324	50,000	50,000
Other Financing Sources:				
Proceeds from Sale of Debt				
Transfers	53,445		75,000	75,000
Advances				
Other Sources				
TOTAL REVENUE	1,985,155	1,883,580	2,015,275	2,015,000

FUND NAME: GENERAL FUND
FUND TYPE/CLASSIFICATION: GOVERNMENTAL — GENERAL

EXHIBIT I

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 1993 Actual (2)	For 1994 Actual (3)	Current Year Estimated for 1995 (4)	Budget Year Estimated for 1996 (5)
EXPENDITURES				
Security of Persons and Property				
Personal Services	954,778	739,602	759,000	765,000
Travel Transportation				
Contractual Services	51,071			
Supplies and Materials	144,600	99,006	103,900	105,000
Capital Outlay	11,327	13,741	15,000	15,000
Total Security of Persons and Property	1,161,776	852,349	877,900	885,000
Public Health Services				
Personal Services				
Travel Transportation				
Contractual Services	3,122	3,058	4,010	4,000
Supplies and Materials	2,844	3,172	3,500	3,500
Capital Outlay				
Total Public Health Services	5,966	6,230	7,510	7,500
Leisure Time Activities				
Personal Services	4,415	9,943	13,350	38,000
Travel Transportation				
Contractual Services	14,728		5,200	
Supplies and Materials	33,520	29,119	31,500	32,000
Capital Outlay	1,850			
Total Leisure Time Activities	41,513	39,062	50,050	70,000
Community Environment				
Personal Services				
Travel Transportation				
Contractual Services	1,663			
Supplies and Materials	1,180	823	1,600	1,500
Capital Outlay				
Total Community Environment	2,843	823	1,600	1,500
Basic Utility Services				
Personal Services	343,665	330,304	345,000	355,000
Travel Transportation				
Contractual Services	88,177	4,706	100,000	100,000
Supplies and Materials	25,721	20,835	24,000	25,000
Capital Outlay				
Total Basic Utility Services	457,563	355,845	469,000	480,000

FUND NAME: GENERAL FUND

FUND TYPE/CLASSIFICATION: GOVERNMENTAL — GENERAL

EXHIB

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 1993 Actual (2)	For 1994 Actual (3)	Current Year Estimated for 1995 (4)	Budget Year Estimated for 1996 (5)
Transportation				
Personal Services				
Travel Transportation				
Contractual Services				
Supplies and Materials	10910	4726	5000	500
Capital Outlay				
Total Transportations	10910	4726	5000	500
General Government				
Personal Services	216410	209008	215245	22500
Travel Transportation				
Contractual Services	34905	6017	50200	5500
Supplies and Materials	103378	88597	115200	12000
Capital Outlay	2639			
Total General Government	357332	303622	380645	40000
Debt Service				
Redemption of Principal				
Interest				
Other Debt Service				
Total Debt Service				
Other Uses of Funds				
Transfers		154000	182000	16800
Advances				
Contingencies				
Other Uses of Funds				
Total Other Uses of Funds				
TOTAL EXPENDITURES	2,087,903	1,716,657	1,973,705	2,017,000
Revenues over/(under) Expenditures	<102748>	166923	41570	<2000>
Beginning Unencumbered Balance	* 6788	* <95960>	70963	11253
Ending Cash Fund Balance	<95960>	70963	112533	11053
Estimated Encumbrances (outstanding at year end)	27081	18551	10000	10000
Estimated Ending Unencumbered Fund Balance	<123041>	52412	102533	10053

*Use Cash Balance

EXHIBIT III

FUND List All Funds Individually Unless Reported on Exhibit I or II	Estimated Unencumbered Fund Balance 1/1/96	Budget Year Estimated Receipt	Total Available For Expenditures	Budget Year Expenditures and Encumbrances			Estimated Unencumbered Balance 12/31/96
				Personal Services	Other	Total	
GOVERNMENTAL: SPECIAL SERVICE: WPPDS: Street Construction Maintenance: Repair WDA & Drug Law Enforcement Law Enforcement Trust Alcohol Enforcement	XXXXXXXXXX XXXXXXXXXX -0- -0- -0- -0- -0-	XXXXXXXXXX XXXXXXXXXX 175,000 100,000 5,000 5,000 1,000	XXXXXXXXXX XXXXXXXXXX 175,000 160,000 5,000 5,000 1,000	XXXXXXXXXX XXXXXXXXXX 110,000 15,000 - - -	XXXXXXXXXX XXXXXXXXXX 65,000 145,000 5,000 5,000 1,000	XXXXXXXXXX XXXXXXXXXX 175,000 160,000 5,000 5,000 1,000	XXXXXXXXXX XXXXXXXXXX -0- -0- -0- -0- -0-
TOTAL SPECIAL REVENUE FUNDS	-0-	346,000	346,000	125,000	221,000	346,000	-0-
DEBT SERVICE FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
TOTAL DEBT SERVICE FUNDS							
CAPITAL PROJECT FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
TOTAL CAPITAL PROJECTS							

EXHIBIT III

FUND List All Funds Individually Unless Reported on Exhibit I or II	Estimated Unencumbered Fund Balance 1/1/96	Budget Year Estimated Receipt	Total Available For Expenditures	Budget Year Expenditures and Encumbrances			Estimated Unencumbered Balance 12/31/96
				Personal Services	Other	Total	
PROPRIETARY: ENTERPRISE FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Water Fund	10,000	450,000	460,000	290,000	170,000	460,000	XXXXXX
Sewer Fund	120,000	600,000	720,000	—	650,000	650,000	XXXXXX
Waterworks Improvement	—	35,000	35,000	—	35,000	35,000	— 0 -
Note Retirement	—	—	—	—	—	—	— 0 -
TOTAL ENTERPRISE FUNDS	130,000	1,085,000	1,215,000	290,000	855,000	1,145,000	700,000
INTERNAL SERVICE FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
TOTAL INTERNAL SERVICE FUNDS	—	—	—	—	—	—	—
FIDUCIARY: TRUST AND AGENCY FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
TOTAL TRUST AND AGENCY FUNDS	—	—	—	—	—	—	—
TOTAL FOR MEMORANDUM ONLY	—	—	—	—	—	—	—

STATEMENT OF AMOUNTS REQUIRED FOR PAYMENT OF FINAL JUDGEMENTS

(Section 5705.29. Revised Code)

DESCRIPTION OF JUDGEMENT

AMOUNT OF
JUDGEMENT

FUND PAYING JUDGEMENT

TOTAL

List the amounts required for the payment of each judgement expected to be paid during the year being budgeted.

[illegible]

the levy is outside the 10 mill limit by vote enter the words "by vote" and the date of the election.
outside the 10 mill limit without a vote, enter the reference to the statute under which the levy is exempt from the 10 mill limit.

OFFICIAL CERTIFICATE OF ESTIMATED RESOURCES

The Budget Commission of _____ County, Ohio, hereby makes the following Official Certificate of Estimated Resources for the city/village of _____ for the BUDGET YEAR beginning January 1st, 19____.

FUND	Estimated Unencumbered Balance January 1, 19____	Real Estate Property Tax	Personal Property Tax	Local Government Money	Rollback, Homestead Personal Property Tax Exemption	Other Sources	Total
GOVERNMENTAL FUND TYPE							
General Fund							
Special Revenue Funds							
Debt Service Funds							
Capital Project Funds							
Special Assessment Fund							
PROPRIETARY FUND TYPE							
Enterprise Funds							
Internal Service Funds							
FIDUCIARY FUND TYPE							
Trust and Agency Funds							
TOTAL ALL FUNDS							

The Budget Commission further certifies that its action on the foregoing budget and the County Auditor's estimate of the rate of each tax necessary to be levied within and outside the 10 mill limitation is set forth in the proper columns of the preceding pages and the total amount approved for each fund must govern the amount of appropriation from such fund.

Date _____, 19____

Budget _____

Commission _____

OFFICIAL CERTIFICATE OF ESTIMATED RESOURCES — Continued

FUND	Estimated Unencumbered Balance January 1, 19__	Real Estate Property Tax	Personal Property Tax	Local Government Allocation	Rollback, Homestead and Personal Property Tax Exemption	Other Sources	Total
GOVERNMENT FUNDS:							
GENERAL FUND	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
General Fund	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
SPECIAL REVENUE FUNDS:							
Street Construction Maintenance/Repair	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
State Highway Improvement Fund							
Cemetery Fund							
Parks and Recreation Fund							
Federal Grant Fund							
State Grant Fund							
Law Enforcement Trust Fund							
Drug Law Enforcement Fund							
Other Special Revenue Funds							
TOTAL SPECIAL REVENUE FUNDS							
BT SERVICE FUNDS							
General Obligation Bond Fund	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Other Debt Service Funds							
TOTAL DEBT SERVICE FUNDS							
CAPITAL PROJECT FUNDS:							
Construction Fund	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Federal Grant Fund							
Other Capital Project Funds							
TOTAL CAPITAL PROJECT FUNDS							

OFFICIAL CERTIFICATE OF ESTIMATED RESOURCES — Continued

FUND	Estimated Unencumbered Balance January 1, 19__	Real Estate Property Tax	Personal Property Tax	Local Government Allocation	Rollback, Homestead and Personal Property Tax Exemption	Other Sources	Total
SPECIAL ASSESSMENT FUNDS:	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Special Assessment Bond Retirement							
Special Assessment Improvement Fund							
Special Assessment Operating Fund							
Other Special Assessment Funds (specify)							
TOTAL SPECIAL ASSESSMENT FUNDS							
ENTERPRISE FUNDS:	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Water Fund							
Sanitary Sewer Fund							
Electric Fund							
Parking Fund							
Swimming Pool Fund							
First Mortgage Debt Service Fund							
Debt Service Reserve Fund							
Utilities Deposit Fund							
Utility Improvement Fund							
Other Enterprise Funds							
TOTAL ENTERPRISE FUNDS							
INTERNAL SERVICE FUNDS:	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Revolving Fund							
Other Internal Service Funds							
TOTAL INTERNAL SERVICE FUNDS							

[illegible]

COUNTY AUDITOR'S ESTIMATE

Tax Levies and Rates for 19____, in _____ City/Village

Tax Valuation \$ _____

	Amount Approved By Budget Commission	County Auditor's Estimate of Rate in Mills
LEVIES WITHIN 10 MILL LIMITATION	XXXXXXXXXXXXX	XXXXXXXXXXXXX
County		
Township		
School		
Village		
City		
TOTAL		
LEVIES OUTSIDE OF 10 MILL LIMITATION		
County		
Township		
School		
Village		
City		
State		
TOTAL		
TOTAL LEVY FOR ALL PURPOSES		

BUDGET OF

COUNTY

City/Village

FOR FISCAL YEAR
BEGINNING JANUARY 1, 19____

____, 19____

County Auditor

Deputy Auditor

ADDITIONAL SUPPORT INFORMATION

For Program Year 1997 (July 1, 1997 through June 30, 1998), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

- 1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State form BR-86.

Closed _____

Poor X

Fair _____

Good _____

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

The existing facility is exhibiting multiple pavement failures and curb breakage. Storm drains are deteriorating and the pavement width is not sufficient for the ongoing industrial expansion.

- 2) If State Capital Improvement Program funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 1997) would the project be under contract? The Support Staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

 4 weeks/months (Circle one)

Are preliminary plans or engineering completed? Yes No

Are detailed construction plans completed? Yes No

Are all right-of-way and easements acquired?* Yes No N/A

*Please answer the following if applicable:

No. of parcels needed for project: 0 Of these, how many are Takes _____, Temporary _____, Permanent _____

On a separate sheet, explain the status of the ROW acquisition process of this project for any parcels not yet acquired.

Are all utility coordinations completed? Yes No N/A

Give an estimate of time, in weeks or months, to complete any item above not yet completed. 6 weeks/months

- 3) How will the proposed project impact the general health, safety and welfare of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, commerce, and highway capacity.) Please be specific and provide documentation if necessary to substantiate the data.

With the opening of the new industrial park on South Cooper, increased traffic volumes will create traffic hazards. The proposed widening will facilitate traffic volumes and reduce the threat to public safety.

- 4) What type of funds are to be utilized for the local share for this project?

Federal	_____	ODOT	_____	Local	_____
MRF	<u>X</u>	OWDA	_____	CDBG	_____
Other	_____				

Note: If MRF funds are being used for the local share, the MRF application must have been filed by August 1, 1996 for this project with the Hamilton County Engineer's Office.

The minimum amount of matching funds for grant projects (local share) must be at least 10% of the TOTAL CONSTRUCTION COST. What percentage of matching funds are being committed to this project?

10 %

- 5) Has any formal action by a federal, state, or local government agency resulted in a complete or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits.) A copy of the approved legislation must be submitted with the application. THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE VALID.

Complete Ban _____ Partial Ban _____ No Ban X

Will the ban be removed after the project is completed?

Yes _____ No _____

- 6) What is the total number of existing users that will benefit as a result of the proposed project?

3600 x 1.2 = 4320 VPD

For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

- 7) Has the jurisdiction developed a Five Year Capital Improvement Plan as required in O.R.C., chapter 164?

Yes X No

- 8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

Cooper connects the City of Wyoming through the Village of Lockland to the Village of Lincoln Heights.

- 9) For expansion projects, please provide the existing and proposed Level of Service (LOS) of the facility using the methodology outlined within AASHTO'S "Geometric Design of Highways and Streets" and the 1985 Highway Capacity Manual.

Existing LOS Proposed LOS

If the proposed LOS is not "C" or better, explain why LOS "C" cannot be achieved. (Attach separate sheets if necessary.)

SCIP/LTIP PROGRAM
ROUND 11 - PROGRAM YEAR 1997
PROJECT SELECTION CRITERIA
JULY 1, 1997 TO JUNE 30, 1998

ADOPTED BY THE INTEGRATING COMMITTEE
May 24, 1996

JURISDICTION/AGENCY: VILLAGE OF LOCKLAND
NAME OF PROJECT: SOUTH CORDON WIRELINE
PRELIMINARY SCORE FOR THIS PROJECT: 44
FINAL SCORE FOR THIS PROJECT: _____
RATING TEAM: 3

- 10/10
- 1) If SCIP/LTIP funds are granted, when would the construction contract be awarded? POINTS
10
- 10 Points - Will be under contract by end of 1997 and no delinquent projects in Rounds 8 & 9.
- 5 Points - Will be under contract by March 30, 1998 and/or jurisdiction has had one delinquent project in Rounds 8 & 9.
- 0 Points - Will not be under contract by March 30, 1998 and/or jurisdiction has had more than one delinquent project in Rounds 8 & 9.
- 8/20
- 2) What is the physical condition of the existing infrastructure to be replaced or repaired? 15
- 25 Points - Failed
- 23 Points - Critical
- 20 Points - Very Poor
- 17 Points - Poor
- 15 Points - Moderately Poor
- 10 Points - Moderately Fair
- 5 Points - Fair Condition
- 0 Points - Good or Better

NOTE: If the infrastructure is in "good" or better condition, it will NOT be considered for SCIP/LTIP funding unless it is an expansion project that will improve serviceability.

3) If the project is built, what will be its effect on the facility's serviceability? Documentation is required. 3/1-

3

- 5 Points - Project design is for future demand.
- 4 Points - Project design is for partial future demand.
- 3 Points - Project design is for current demand.
- 2 Points - Project design is for minimal increase in capacity.
- 1 Point - Project design is for no increase in capacity.

4) How important is the project to HEALTH, SAFETY, AND WELFARE of the public and the citizens of the District and/or service area? 4/10

4

- 10 Points - Highly significant importance, with substantial impact on all 3 factors.
- 8 Points - Considerably significant importance, with substantial impact on 2 factors, or noticeable impact on all 3 factors.
- 6 Points - Moderate importance, with substantial impact on 1 factor or noticeable impact on 2 factors.
- 4 Points - Minimal importance, with noticeable impact on 1 factor
- 2 Points - No measurable impact

5) What is the overall economic health of the jurisdiction?

4

- 10 Points
- 8 Points
- 6 Points
- 4 Points
- 2 Points

6) What matching funds are being committed to the project, expressed as a percentage of the TOTAL CONSTRUCTION COST? Loan and Credit Enhancement projects automatically receive 5 points, and no match is required. All grant funded projects require a minimum of 10% matching funds. 1/5-

1

- 5 Points - 50% or more
- 4 Points - 40% to 49.99%
- 3 Points - 30% to 39.99%
- 2 Points - 20% to 29.99%
- 1 Point - 10% to 19.99%

- 7) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? *POINTS MAY ONLY BE AWARDED IF THE END RESULT OF THE PROJECT WILL CAUSE THE BAN TO BE LIFTED.* 0/5

5 Points - Complete ban
3 Points - Partial ban
0 Points - No ban of any kind

0

- 8) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for the roads and bridges, but only when certifiable ridership figures are provided. 1/5

5 Points - 16,000 or more
4 Points - 12,000 to 15,999
3 Points - 8,000 to 11,999
2 Points - 4,000 to 7,999
1 Point - 3,999 and under

2

- 9) Does the infrastructure have regional impact? Consider originations and destinations of traffic, functional classifications, size of service area, number of jurisdictions served, etc. 1/5

5 Points - Major impact
4 Points -
3 Points - Moderate impact
2 Points -
1 Point - Minimal or no impact

2

- 10) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or a dedicated tax for infrastructure and provided certification of which fees have been enacted? 1/2

5 Points - Two of the above
3 Points - One of the above
0 Points - None of the above

3

ADDENDUM TO THE RATING SYSTEM

DEFINITIONS/CLARIFICATIONS

Criterion 1 - ABILITY TO PROCEED

The Support Staff will assign points based on engineering experience and OPWC defined delinquent projects. A project is considered delinquent when it has not received a notice to proceed within the time stated on the original application and no time extension has been granted by the OPWC. A jurisdiction receiving approval for a project and subsequently cancelling the same after the bid date on the application may be considered as having a delinquent project.

Criterion 2 - CONDITION

Condition is based on the amount of deterioration that is field verified or documented exclusive of capacity, serviceability, or health, safety and welfare issues. Condition is rated only on the existing facility being repaired or abandoned. If the existing facility is not being abandoned or repaired, but a new facility is being built, it shall be considered as an expansion project. (Documentation may include ODOT BR-86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included with the original application.)

Definitions:

FAILED CONDITION - Requires complete reconstruction where no part of the existing facility is salvageable. (e.g. Roads: complete reconstruction of roadway, curbs and base; Bridges: complete removal and replacement of bridge; Underground: removal and replacement of an underground drainage or water system; Hydrants: completely non-functioning and replacement parts are unavailable.)

CRITICAL CONDITION - Requires moderate or partial reconstruction to maintain integrity. (e.g. Roads: reconstruction of roadway, curbs can be saved; Bridges: removal and replacement of bridge with abutment modification; Underground: removal and replacement of part of an underground drainage or water system; Hydrants: some non-functioning, others obsolete and replacement parts are unavailable.)

VERY POOR CONDITION - Requires extensive rehabilitation to maintain integrity. (e.g. Roads: extensive full depth, partial depth and curb repair of a roadway with a structural overlay; Bridges: superstructure replacement; Underground: repair of joints and/or minor replacement of pipe sections; Hydrants: non-functioning and replacement parts are available.)

POOR CONDITION - Requires standard rehabilitation to maintain integrity. (e.g. Roads: moderate full depth, partial depth and curb repair to a roadway with no structural overlay needed or structural overlay with minor repairs to a roadway needed; Bridges: extensive patching of substructure and replacement of deck; Underground: insituform or other in ground repairs; Hydrants: functional, but leaking and replacement parts are unavailable.)

MODERATELY POOR CONDITION - Requires minor rehabilitation to maintain integrity. (e.g. Roads: minor full depth, partial depth or curb repairs to a roadway with either a thin overlay or no overlay needed; Bridges: major structural patching and/or major deck repair; Hydrants: functional and replacement parts are available.)

MODERATELY FAIR CONDITION - Requires extensive maintenance to maintain integrity. (e.g. Roads: thin or no overlay with extensive crack sealing, minor partial depth and/or slurry or rejuvenation; Bridges: minor structural patching, deck repair, erosion control.)

FAIR CONDITION - Requires routine maintenance to maintain integrity. (e.g. Roads: slurry seal, rejuvenation or routine crack sealing to the roadway; Bridges: minor structural patching.)

GOOD OR BETTER CONDITION - Little or no maintenance required to maintain integrity.

Criterion 4 - HEALTH, SAFETY & WELFARE

Definitions:

SAFETY - The design of the project will prevent accidents, promote safer conditions, and eliminate or reduce the danger of risk, liability, or injury.

EXAMPLES: Widening existing roadway lanes to standard lane widths; Adding lanes to a roadway or bridge to increase capacity or alleviate congestion; replacing old or non-functioning hydrants; increasing capacity to a water system, etc.

HEALTH - The design of the project will improve the overall condition of the facility so as to reduce or eliminate disease; or correct concerns regarding the environmental health of the area.

EXAMPLES: Improving or adding storm drainage or sanitary facilities; replacing lead joints in water lines;

WELFARE - The design of the project will promote economic well-being and prosperity.

EXAMPLES: Project has the potential to improve business expansions or opportunities in the area; project will improve the quality of life in the area;

PLEASE NOTE: The examples listed above are NOT a complete list, but only a small sampling of situations that may be relevant to any given project. Each project is looked at on an individual basis to determine if any aspects of this rating category apply.

Criterion 9 - REGIONAL IMPACT

Definitions:

MAJOR IMPACT - Roads: major multi-jurisdictional route, primary feed to an interstate, Federal Aid Primary routes; Underground: primary water or sewer main serving and entire system; Hydrants: multi-jurisdictional.

MODERATE IMPACT - Roads: principal thoroughfares, Federal Aid Urban routes; Underground: primary water or sewer main serving only part of a system; Hydrants: all hydrants in a local system serving only one jurisdiction.

MINIMAL/NO IMPACT - Roads: cul-de-sacs, subdivision streets; Underground: individual water or sewer main not part of a large system; Hydrants: only some hydrants in a local system serving only one jurisdiction.